

# MUTATIONS OF FAKE WEIGHTED PROJECTIVE PLANES

MOHAMMAD E. AKHTAR AND ALEXANDER M. KASPRZYK

**ABSTRACT.** In previous work by Coates, Galkin, and the authors, the notion of mutation between lattice polytopes was introduced. Such a mutation gives rise to a deformation between the corresponding toric varieties. In this paper we study one-step mutations that correspond to deformations between weighted projective planes, giving a complete characterisation of such mutations in terms of T-singularities. We show also that the weights involved satisfy Diophantine equations, generalising results of Hacking–Prokhorov.

## 1. INTRODUCTION

In [ACGK12] we described a combinatorial notion of mutation between convex lattice polytopes. In this paper we begin to explore the geometry behind this idea. Given a convex lattice polytope  $P$  containing the origin and with primitive vertices, there is a corresponding toric variety  $X$  defined by the spanning fan of  $P$ . A mutation between polytopes  $P$  and  $Q$  determines a deformation between  $X_P$  and  $X_Q$  [Ilt12]. Our main result characterises mutations between triangles; thus we characterise certain deformations, over  $\mathbb{P}^1$ , with fibers given by fake weighted projective planes. We recover and generalise certain results of Hacking and Prokhorov [HP10, Theorem 4.1] connecting the fake weighted projective planes with T-singularities to solutions of Markov-type equations. We prove the following:

**Proposition 1.1.** *Let  $X = \mathbb{P}(\lambda_0, \lambda_1, \lambda_2)$  be a weighted projective plane. Up to reordering of the weights, there exists a one-step mutation to a weighted projective plane  $Y$  if and only if  $\frac{1}{\lambda_0}(\lambda_1, \lambda_2)$  is a T-singularity. When this is the case,  $Y = \mathbb{P}\left(\lambda_1, \lambda_2, \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0}\right)$ . More generally, there exists a one-step mutation from the fake weighted projective plane  $X/(\mathbb{Z}/n)$  to the fake weighted projective plane  $Y/(\mathbb{Z}/n')$  only if  $n = n'$  and  $\frac{1}{\lambda_0}(\lambda_1, \lambda_2)$  is a T-singularity.*

In Proposition 3.12 we associate to a weighted projective plane  $X$  a Diophantine equation

$$(1.1) \quad mx_0x_1x_2 = k(c_0x_0^2 + c_1x_1^2 + c_2x_2^2).$$

The weights  $(\lambda_0, \lambda_1, \lambda_2)$  of  $X$  correspond to a solution  $(a_0, a_1, a_2)$ , where  $\lambda_i = c_i a_i^2$ ,  $i = 0, 1, 2$ , and the degree of  $X$  is given by

$$(-K_X)^2 = \frac{m^2}{c_0c_1c_2k^2}.$$

One-step mutations of  $X$  correspond to transformations of the solutions to (1.1), and all such solutions can be generated from the so-called minimal weights by mutation.

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2010 *Mathematics Subject Classification*: 52B20 (Primary); 14J45, 11D99 (Secondary).

When  $X = \mathbb{P}^2$ , equation (1.1) becomes the celebrated Markov equation [Mar80]. Certain other special cases were studied by Rosenberger [Ros79]. These cases all have finitely many minimal weights. In §4 we give an example where the corresponding Diophantine equation has infinitely many minimal weights.

## 2. MUTATIONS OF FANO POLYTOPES

Let  $N \cong \mathbb{Z}^n$  be a lattice with dual  $M := \text{Hom}(N, \mathbb{Z})$ . A lattice polytope  $P \subset N_{\mathbb{Q}} := N \otimes_{\mathbb{Z}} \mathbb{Q}$  is called *Fano* if it satisfies three conditions:

- (1)  $P$  is of maximum dimension,  $\dim P = \dim N$ ;
- (2) The origin is contained in the strict interior of  $P$ ,  $\mathbf{0} \in \text{int}(P)$ ;
- (3) The vertices  $\text{vert}(P)$  of  $P$  are primitive lattice points, i.e. for any  $v \in \text{vert}(P)$  there are no other lattice points on the line segment  $\overline{\mathbf{0}v}$  joining  $v$  and the origin.

The dual of  $P$  is defined to be the polyhedron

$$P^{\vee} := \{u \in M_{\mathbb{Q}} \mid u(v) \geq -1 \text{ for all } v \in P\} \subset M_{\mathbb{Q}}.$$

By condition (2) this is a polytope with  $\mathbf{0} \in \text{int}(P^{\vee})$ , although it need not be a lattice polytope. See [KN12] for an overview of Fano polytopes.

We briefly recall the notation of [ACGK12, §3]. Any choice of primitive vector  $w \in M$  determines a lattice height function  $w : N \rightarrow \mathbb{Z}$  which naturally extends to  $N_{\mathbb{Q}} \rightarrow \mathbb{Q}$ . A subset  $S \subset N_{\mathbb{Q}}$  is said to lie at height  $h \in \mathbb{Q}$  with respect to  $w$  if  $w(S) := \{w(s) \mid s \in S\} = \{h\}$ ; we write  $w(S) = h$ . The set of all points of  $N_{\mathbb{Q}}$  lying at height  $h$  with respect to a given  $w$  is an affine hyperplane  $H_{w,h} := \{v \in N_{\mathbb{Q}} \mid w(v) = h\}$ . In particular,

$$w_h(P) := \text{conv}(H_{w,h} \cap P \cap N) \subset N_{\mathbb{Q}}$$

will denote the (possibly empty) convex hull of all lattice points in  $P$  at height  $h$ .

Define

$$h_{\min} := \min\{w(v) \mid v \in P\}, \quad h_{\max} := \max\{w(v) \mid v \in P\}.$$

Since  $P$  is a lattice polytope, both  $h_{\min}$  and  $h_{\max}$  are integers. Condition (2) guarantees that  $h_{\min} < 0$  and  $h_{\max} > 0$ .

**Definition 2.1.** A *factor* of  $P$  with respect to  $w$  is a lattice polytope  $F \subset N_{\mathbb{Q}}$  satisfying:

- (1)  $w(F) = 0$ ;
- (2) For every integer  $h$ ,  $h_{\min} \leq h < 0$ , there exists a (possibly empty) lattice polytope  $G_h \subset N_{\mathbb{Q}}$  at height  $h$  such that

$$H_{w,h} \cap \text{vert}(P) \subseteq G_h + (-h)F \subseteq w_h(P).$$

Note that, for given polytope  $P \subset N_{\mathbb{Q}}$  and width vector  $w \in M$ , a factor  $F$  need not exist. When a factor does exist we make the following construction:

**Definition 2.2** ([ACGK12, Definition 5]). Let  $P \subset N_{\mathbb{Q}}$  be a polytope with width vector  $w \in M$ , factor  $F$ , and polytopes  $\{G_h\}$ . We define the corresponding *combinatorial mutation* to be the convex lattice polytope

$$\text{mut}_w(P, F; \{G_h\}) := \text{conv} \left( \bigcup_{h=h_{\min}}^{-1} G_h \cup \bigcup_{h=0}^{h_{\max}} (w_h(P) + hF) \right) \subset N_{\mathbb{Q}}.$$

For brevity we will often refer to a combinatorial mutation simply as a *mutation*.

We summarise the key properties of mutations [ACGK12]:

- (1) We need only consider factors  $F$  up to translation, since for any  $v \in N$  such that  $w(v) = 0$ , we have  $\text{mut}_w(P, F; \{G_h\}) \cong \text{mut}_w(P, v + F; \{G_h + hv\})$ . In particular, choosing  $F$  to be a point leaves  $P$  unchanged.
- (2) If  $\{G_h\}$  and  $\{G'_h\}$  are any two collections of polytopes for a factor  $F$ , then  $\text{mut}_w(P, F; \{G_h\}) \cong \text{mut}_w(P, F; \{G'_h\})$ . Thus the choice of collection  $\{G_h\}$  is irrelevant and we write  $\text{mut}_w(P, F)$ .
- (3)  $P$  is a Fano polytope if and only if  $\text{mut}_w(P, F)$  is a Fano polytope.
- (4) Let  $Q := \text{mut}_w(P, F)$ . Then  $\text{mut}_{-w}(Q, F) = P$ , so mutations are invertible.
- (5) The toric varieties defined by the spanning fans of  $P$  and  $\text{mut}_w(P, F)$  have the same degree.

A mutation of  $P \subset N_{\mathbb{Q}}$  induces a piecewise linear transformation  $\varphi$  of  $M_{\mathbb{Q}}$  such that  $(\varphi(P^{\vee}))^{\vee} = \text{mut}_w(P, F)$ , given by

$$\varphi : u \mapsto u - u_{\min} w, \quad u \in M_{\mathbb{Q}},$$

where  $u_{\min} := \min\{u(v_F) \mid v_F \in \text{vert}(F)\}$ . The inner normal fan of  $F \subset N_{\mathbb{Q}}$  determines a chamber decomposition of  $M_{\mathbb{Q}}$ , and  $\varphi$  acts as a linear transformation on the interior of each maximal dimensional cone of this fan.

**Example 2.3.** Consider the triangle  $P = \text{conv}\{(1, -1), (-1, 2), (0, -1)\} \subset N_{\mathbb{Q}}$  corresponding to the toric variety  $\mathbb{P}^2$ . Let  $w = (0, 1) \in M$  and set  $F = \text{conv}\{\mathbf{0}, (1, 0)\} \subset N_{\mathbb{Q}}$ . This defines a mutation from  $P$  to the triangle  $Q = \text{conv}\{(1, 2), (-1, 2), (0, -1)\} \subset N_{\mathbb{Q}}$ , as illustrated in Figure 1. On the dual side, this corresponds to a piecewise linear map  $\varphi : u \mapsto uM_{\sigma}$  for  $u = (\alpha, \beta) \in M_{\mathbb{Q}}$ , where

$$M_{\sigma} = \begin{cases} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \text{if } \alpha \geq 0, \\ \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} & \text{otherwise.} \end{cases}$$

In particular,  $\varphi(P^{\vee}) = Q^{\vee}$ .

Mutations are particularly simple in the two-dimensional case. In this setting, a given primitive  $w \in M$  defines a non-trivial mutation of  $P \subset N_{\mathbb{Q}}$  if and only if  $w \in \{\bar{u} \mid u \in \text{vert}(P^{\vee})\} \subset M$ , where  $\bar{u} \in M$  is the unique primitive lattice vector on the ray passing through  $u$ . Nontrivial factors  $F \subset N_{\mathbb{Q}}$  are just line segments, so it suffices to restrict attention to those  $F$  which have vertex set  $\{\mathbf{0}, f\}$ , for some  $f \in N$  with  $w(f) = 0$ . The inner normal fan of any factor  $F$  of  $P$  with respect to a given  $w$  is just the linear subspace of  $M_{\mathbb{Q}}$  spanned by  $w$ . This divides  $M_{\mathbb{Q}}$  into

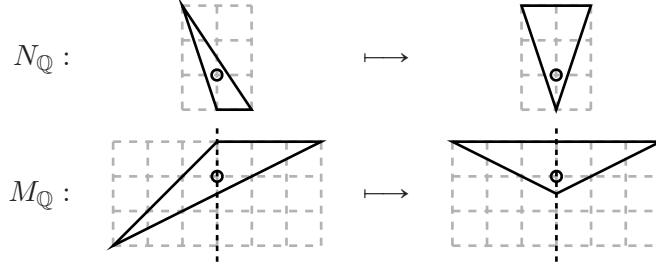


FIGURE 1. A mutation from the triangle associated with  $\mathbb{P}^2$  to the triangle associated with  $\mathbb{P}(1, 1, 4)$ .

two chambers; the piecewise linear transformation  $\varphi$  acts trivially in one of the chambers, and as  $u \mapsto u - u(f)w$  in the other.

### 3. ONE-STEP MUTATIONS OF TRIANGLES

Set  $N \cong \mathbb{Z}^2$  and let  $P := \text{conv}\{v_0, v_1, v_2\} \subset N_{\mathbb{Q}}$  be a Fano triangle. Since  $\mathbf{0} \in \text{int}(P)$  there exists a (unique) choice of coprime positive integers  $\lambda_0, \lambda_1, \lambda_2 \in \mathbb{Z}_{>0}$  with  $\lambda_0 v_0 + \lambda_1 v_1 + \lambda_2 v_2 = \mathbf{0}$ . The projective toric surface  $X$  given by the spanning fan of  $P$  has Picard rank 1, and is called a *fake weighted projective plane* with weights  $(\lambda_0, \lambda_1, \lambda_2)$ ;  $X$  is the quotient of  $\mathbb{P}(\lambda_0, \lambda_1, \lambda_2)$  by the action of a finite group of order  $\text{mult}(X)$  acting free in codimension one [Buc08, Kas09].

**Remark 3.1.** Since the vertices of  $P$  are primitive, the weights  $(\lambda_0, \lambda_1, \lambda_2)$  are *well-formed*: that is,  $\gcd\{\lambda_i, \lambda_j\} = 1$ ,  $i \neq j$ . In this paper we will always require that weights are well-formed.

**Definition 3.2.** We say that a fake weighted projective plane  $Y$  with defining Fano triangle  $Q \subset N_{\mathbb{Q}}$  is obtained from  $X$  by a *one-step mutation* if  $Q \cong \text{mut}_w(P, F)$  for some choice of  $w$  and factor  $F$ .

#### 3.1. One-step mutations in $M_{\mathbb{Q}}$ and weights.

**Proposition 3.3.** *Let  $X$  be a fake weighted projective plane with weights  $(\lambda_0, \lambda_1, \lambda_2)$ . Suppose there exists a one-step mutation to a fake weighted projective plane  $Y$ . Then, up to relabelling,  $\lambda_0 \mid (\lambda_1 + \lambda_2)^2$  and  $Y$  has weights*

$$\left( \lambda_1, \lambda_2, \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} \right).$$

*Proof.* Consider a lattice triangle  $T_1 \subset N_{\mathbb{Q}}$ ,  $\mathbf{0} \in \text{int}(T_1)$ , and suppose that there exists width vector  $w \in M$  and factor  $F \subset N_{\mathbb{Q}}$ ,  $w(F) = 0$ , such that the mutation  $T_2 = \text{mut}_w(T_1, F)$  is also a triangle. Without loss of generality we can assume that  $w = (0, 1) \in M$  and  $F = \text{conv}\{\mathbf{0}, (a, 0)\}$  for some  $a \in \mathbb{Z}_{>0}$ . The mutation corresponds to a piecewise linear action on  $M_{\mathbb{Q}}$  via  $u \mapsto uM_{\sigma}$

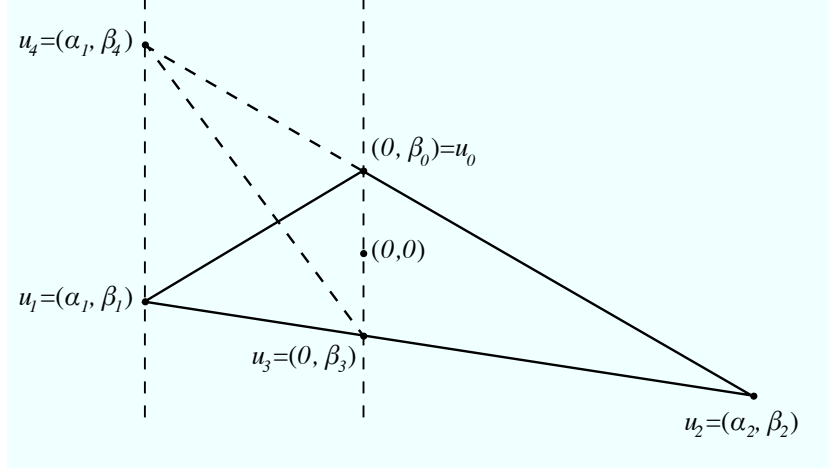


FIGURE 2. A one-step mutation, depicted in  $M_{\mathbb{Q}}$ , of the triangle  $\text{conv}\{u_0, u_1, u_2\}$  to the triangle  $\text{conv}\{u_2, u_3, u_4\}$ .

given by

$$M_{\sigma} = \begin{cases} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & \text{if } u \in M^+, \\ \begin{pmatrix} 1 & -a \\ 0 & 1 \end{pmatrix} & \text{otherwise,} \end{cases}$$

where  $M^+$  is the half-space  $\{(\alpha, \beta) \in M_{\mathbb{Q}} \mid \alpha > 0\}$ . Let  $T_1^{\vee} = \text{conv}\{u_0, u_1, u_2\} \subset M_{\mathbb{Q}}$  be the (possibly rational) triangle dual to  $T_1$ , where  $u_2 \in M^+$  and so is fixed under the action of the mutation, and  $u_1 \in M^- := \{(\alpha, \beta) \in M_{\mathbb{Q}} \mid \alpha < 0\}$ . Since  $T_2^{\vee} \subset M_{\mathbb{Q}}$  is also a triangle, the only possibility is that  $u_0$  lies on the line  $\langle w \rangle := \{\gamma w \in M_{\mathbb{Q}} \mid \gamma \in \mathbb{Q}\}$ ,  $T_2^{\vee} = \text{conv}\{u_2, u_3, u_4\}$  where  $u_0$  is contained in the line segment  $\overline{u_2 u_4}$  joining  $u_2$  and  $u_4$ , and  $u_3$  is contained in the line segment  $\overline{u_1 u_2}$ . This situation is illustrated in Figure 2.

Since  $\mathbf{0} \in T_1^{\vee}$  there exist unique weights  $(\lambda_0, \lambda_1, \lambda_2) \in \mathbb{Z}_{>0}^3$ ,  $\gcd\{\lambda_0, \lambda_1, \lambda_2\} = 1$ , such that

$$(3.1) \quad \lambda_0 u_0 + \lambda_1 u_1 + \lambda_2 u_2 = \mathbf{0}.$$

Since  $u_3 = (0, \beta_3) \in \overline{u_1 u_2}$  there exists some  $0 < \mu < 1$  such that  $\mu \alpha_1 + (1 - \mu) \alpha_2 = 0$ . But  $\lambda_1 \alpha_1 + \lambda_2 \alpha_2 = 0$ , hence

$$\frac{\lambda_1}{\lambda_1 + \lambda_2} \alpha_1 + \frac{\lambda_2}{\lambda_1 + \lambda_2} \alpha_2 = 0.$$

By uniqueness of  $\mu$ ,

$$(3.2) \quad u_3 = \frac{\lambda_1}{\lambda_1 + \lambda_2} u_1 + \frac{\lambda_2}{\lambda_1 + \lambda_2} u_2.$$

Similarly, since  $u_0 = (0, \beta_0) \in \overline{u_2 u_4}$  there exists some  $0 < \nu < 1$  such that  $u_0 = \nu u_2 + (1 - \nu) u_4$ , giving

$$u_4 = \frac{1}{1 - \nu} u_0 - \frac{\nu}{1 - \nu} u_2.$$

Comparing coefficients we see that

$$(3.3) \quad \alpha_1 = -\frac{\nu}{1-\nu}\alpha_2.$$

But  $u_4 = u_1 + \kappa u_0$  for some  $\kappa > 0$ . Combining this with equation (3.1) we see that

$$u_4 = \frac{\lambda_1 \kappa - \lambda_0}{\lambda_1} u_0 - \frac{\lambda_2}{\lambda_1} u_2.$$

Comparing coefficients, we obtain

$$(3.4) \quad \alpha_1 = -\frac{\lambda_2}{\lambda_1}\alpha_2.$$

Equating equations (3.3) and (3.4) gives

$$(3.5) \quad u_4 = \frac{\lambda_1 + \lambda_2}{\lambda_1} u_0 - \frac{\lambda_2}{\lambda_1} u_2.$$

Notice that, since both  $u_0$  and  $u_3$  are contained in  $\langle w \rangle$ , there exists some  $\gamma > 0$  such that  $-\gamma u_3 = u_0$ . Substituting into equation (3.5) we have

$$(3.6) \quad \frac{\lambda_2}{\lambda_1} u_2 + u_4 + \gamma' u_3 = \mathbf{0}$$

where  $\gamma' = \gamma(\lambda_1 + \lambda_2)/\lambda_1 > 0$ . Substituting in equation (3.2) we obtain

$$\frac{\lambda_2}{\lambda_1} u_2 + u_4 + \frac{\gamma' \lambda_1}{\lambda_1 + \lambda_2} u_1 + \frac{\gamma' \lambda_2}{\lambda_1 + \lambda_2} u_2 = \mathbf{0}.$$

Using equation (3.5) to rewrite the first two terms and clearing denominators gives:

$$(3.7) \quad (\lambda_1 + \lambda_2)^2 u_0 + \gamma' \lambda_1^2 u_1 + \gamma' \lambda_1 \lambda_2 u_2 = \mathbf{0}.$$

Set  $h := \lambda_0 + \lambda_1 + \lambda_2$  and  $\Gamma := (\lambda_1 + \lambda_2)^2 + \gamma' \lambda_1^2 + \gamma' \lambda_1 \lambda_2$ . By comparing equations (3.1) and (3.7), uniqueness of barycentric coordinates gives:

$$\begin{aligned} h(\lambda_1 + \lambda_2)^2 &= \Gamma \lambda_0, \\ h\gamma' \lambda_1^2 &= \Gamma \lambda_1, \\ h\gamma' \lambda_1 \lambda_2 &= \Gamma \lambda_2. \end{aligned}$$

In particular,

$$\gamma' = \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0 \lambda_1}.$$

Substituting this expression for  $\gamma'$  back into equation (3.6) gives

$$(3.8) \quad \lambda_0 \lambda_2 u_2 + (\lambda_1 + \lambda_2)^2 u_3 + \lambda_0 \lambda_1 u_4 = \mathbf{0}.$$

Finally, we consider the situation where  $T_1 \subset N_{\mathbb{Q}}$  is the triangle associated with a fake weighted projective plane with weights  $(\lambda_0, \lambda_1, \lambda_2)$ , and assume that there exists a one-step mutation to some triangle  $T_2 \subset N_{\mathbb{Q}}$ . If  $\lambda_0$  does not divide  $(\lambda_1 + \lambda_2)^2$ , then by equation (3.8) the associated weights are

$$(\lambda_0 \lambda_1, \lambda_0 \lambda_2, (\lambda_1 + \lambda_2)^2),$$

and these fail to be well-formed when  $\lambda_0 > 1$ . Therefore, we must have  $\lambda_0 \mid (\lambda_1 + \lambda_2)^2$ , giving weights

$$\left( \lambda_1, \lambda_2, \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} \right).$$

□

**Remark 3.4.** Let  $(\lambda_0, \lambda_1, \lambda_2)$  be well-formed weights such that  $\lambda_0 \mid (\lambda_1 + \lambda_2)^2$ . Then

$$\left( \lambda_1, \lambda_2, \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} \right)$$

are also well-formed. For suppose there exists some prime  $p$  such that

$$p \mid \lambda_1 \quad \text{and} \quad p \mid \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0}.$$

Then  $p \mid \lambda_2^2$  and so  $p \mid \lambda_2$ . But this contradicts  $(\lambda_0, \lambda_1, \lambda_2)$  being well-formed.

**Example 3.5.** There exists no one-step mutation from  $\mathbb{P}(3, 5, 11)$  to any other weighted projective space, since  $3 \nmid (5 + 11)^2$ ,  $5 \nmid (3 + 11)^2$ , and  $11 \nmid (3 + 5)^2$ .

**Example 3.6.** The requirement that  $\lambda_0 \mid (\lambda_1 + \lambda_2)^2$  in Proposition 3.3 is necessary but not sufficient. For example, consider the triangle  $T = \text{conv}\{(10, -7), (-5, 2), (0, 1)\} \subset N_{\mathbb{Q}}$ . This has weights  $(1, 2, 3)$ , however there exist no one-step mutations from  $T$ .

### 3.2. One-step mutations in $N_{\mathbb{Q}}$ and T-singularities.

**Definition 3.7** ([KSB88, Definition 3.7]). A quotient surface singularity is called a *T-singularity* if it admits a  $\mathbb{Q}$ -Gorenstein one-parameter smoothing.

T-singularities include the du Val singularities  $\frac{1}{r}(1, r-1)$ , and are cyclic quotient singularities of the form  $\frac{1}{nd^2}(1, dna-1)$ , where  $\gcd\{d, a\} = 1$  [KSB88, Proposition 3.10].

**Lemma 3.8.** *An isolated quotient singularity  $\frac{1}{r}(a, b)$  is a T-singularity if and only if  $r \mid (a+b)^2$ .*

*Proof.* We begin by noting that the condition that  $r \mid (a+b)^2$  is independent of the choice of representation of  $\frac{1}{r}(a, b)$ . For let  $c$  be any integer coprime to  $r$ . Then  $r \mid (a+b)^2$  if and only if  $r \mid c^2(a+b)^2 = (ca+cb)^2$ .

Suppose we are given a T-singularity. Writing the singularity in the form  $\frac{1}{nd^2}(1, dna-1)$  where  $\gcd\{d, a\} = 1$ , we see that  $nd^2 \mid d^2n^2a^2$ . Conversely consider the isolated quotient singularity  $\frac{1}{r}(a, b)$ . Since  $a$  is invertible mod  $r$ , we can write this as  $\frac{1}{r}(1, b'-1)$ , where  $b' \equiv ba^{-1} + 1 \pmod{r}$ . Write  $r = nd^2$  where  $n$  is square-free. Since  $nd^2 \mid b'^2$  by assumption, we see that  $nd \mid b'$ . In particular, we can express our singularity in the form  $\frac{1}{nd^2}(1, dn\alpha-1)$  for some  $\alpha \in \mathbb{Z}_{>0}$ . Finally, we note that this really is a T-singularity: if  $\gcd\{d, \alpha\} = c$  then we can absorb this factor into  $n' = nc^2$  whilst rescaling  $d' = d/c$  and  $\alpha' = \alpha/c$ . □

**Proposition 3.9.** *Let  $X$  be a fake weighted projective plane corresponding to a triangle  $T \subset N_{\mathbb{Q}}$ , and suppose that the cone  $C$  spanned by an edge  $E$  of  $T$  corresponds to a  $\frac{1}{r}(a, b)$  singularity. There exists a one-step mutation to a fake weighted projective plane  $Y$  given by  $\text{mut}_w(T, F)$  with  $w(E) = h_{\min}$  if and only if  $\frac{1}{r}(a, b)$  is a T-singularity.*

*Proof.* Let  $X$  correspond to the lattice triangle  $T = \text{conv}\{v_1, v_2, v_3\} \subset N_{\mathbb{Q}}$ , where  $\mathbf{0} \in \text{int}(T)$  and the vertices  $\text{vert}(T) \subset N$  are all primitive. Consider the cone  $C = \text{cone}\{v_1, v_2\}$  spanned by the edge  $E = \overline{v_1 v_2}$ ; this is an isolated quotient singularity (possibly smooth), so is of the form  $\frac{1}{r}(a, b)$  for some  $r, a, b \in \mathbb{Z}_{>0}$ ,  $\gcd\{r, a\} = \gcd\{r, b\} = 1$ .

Let  $w \in M$  be a primitive lattice point such that  $w(v_1) = w(v_2) = h$  for some  $h < 0$ . Then, up to translation, there exists a factor  $F \subset N_{\mathbb{Q}}$ ,  $w(F) = 0$ , such that  $T' := \text{mut}_w(T, F)$  is a triangle if and only if  $v_1 + (-h)F = E$ . Equivalently, if and only if  $h \mid |E \cap N| - 1$ .

Finally, we express the values of  $h$  and  $|E \cap N| - 1$  in terms of the singularity  $\frac{1}{r}(a, b)$ . Set  $k := \gcd\{r, a + b\}$ . Then the height  $h = -r/k$ , and the number of points on the edge  $E$  is given by

$$|\{m \mid m \in \{0, \dots, r\} \text{ and } (a + b)m \equiv 0 \pmod{r}\}| = 1 + \frac{r}{h} = 1 + k.$$

Hence  $h \mid |E \cap N| - 1$  if and only if  $r/k \mid k$ . But  $r/k \mid k$  if and only if  $r \mid \gcd\{r, a + b\}^2 = \gcd\{r^2, (a + b)^2\}$ , and  $r \mid \gcd\{r^2, (a + b)^2\}$  if and only if  $r \mid (a + b)^2$ . The result follows by Lemma 3.8.  $\square$

**Example 3.10.** Returning to Example 3.6, we see that the corresponding fake weighted projective space  $X$  is a quotient of  $\mathbb{P}(1, 2, 3)$  with  $\text{mult}(X) = 5$ . The three singularities are  $\frac{1}{5}(1, 3)$ ,  $\frac{1}{10}(1, 3)$ , and  $\frac{1}{15}(1, 11)$ , none of which is a T-singularity.

When  $X$  is a weighted projective plane, Proposition 3.9 tells us that the condition that  $\lambda_0 \mid (\lambda_1 + \lambda_2)^2$  in Proposition 3.3 is both necessary and sufficient.

### 3.3. One-step mutations and Diophantine equations.

**Lemma 3.11.** *Let  $(\lambda_0, \lambda_1, \lambda_2) \in \mathbb{Z}_{>0}^3$  with  $d = \gcd\{\lambda_0, \lambda_1, \lambda_2\}$ . Write:*

- (1)  $\lambda_i = dc_i a_i^2$ , where  $a_i, c_i \in \mathbb{Z}_{>0}$  and  $c_i$  is square-free;
- (2)  $(\lambda_0 + \lambda_1 + \lambda_2)^2 / (\lambda_0 \lambda_1 \lambda_2) = m^2 / (rk^2)$ , where  $m, k, r \in \mathbb{Z}_{>0}$  and  $r$  is square-free;
- (3)  $c_0 c_1 c_2 = gS^2$  and  $dr = hT^2$ , where  $g, h, S, T \in \mathbb{Z}_{>0}$  and both  $g$  and  $h$  are square-free.

*Then  $(da_0, da_1, da_2)$  is a solution to the Diophantine equation*

$$(3.9) \quad Smx_0x_1x_2 = Tk(c_0x_0^2 + c_1x_1^2 + c_2x_2^2).$$

*Proof.* By substituting expressions (1) and (3) into (2) we obtain

$$gS^2m^2(da_0)^2(da_1)^2(da_2)^2 = hT^2k^2(c_0(da_0)^2 + c_1(da_1)^2 + c_2(da_2)^2)^2.$$

Comparing square-free parts, we conclude that  $g = h$ . Cancelling and taking square-roots on both sides establishes the result.  $\square$

Since the weights are assumed to be well-formed,  $d = S = T = 1$  and equation (3.9) becomes

$$(3.10) \quad mx_0x_1x_2 = k(c_0x_0^2 + c_1x_1^2 + c_2x_2^2).$$

Suppose that  $(a_0, a_1, a_2)$  is a positive integral solution to equation (3.10), so that  $\lambda_i = c_i a_i^2$ . The expression

$$(3.11) \quad \frac{(\lambda_0 + \lambda_1 + \lambda_2)^2}{\lambda_0 \lambda_1 \lambda_2}$$



occurring in Lemma 3.11 is equal to the degree of  $\mathbb{P}(\lambda_0, \lambda_1, \lambda_2)$ . More generally if  $X$  is a fake weighted projective plane with weights  $(\lambda_0, \lambda_1, \lambda_2)$  then (3.11) is equal to  $\text{mult}(X)(-K_X)^2$ .

**Proposition 3.12.** *Let  $X$  be a fake weighted projective plane and suppose that there exists a one-step mutation to a fake weighted projective plane  $Y$ . Then the weights of  $X$  and  $Y$  give solutions to the same Diophantine equation (3.10). In particular,  $\text{mult}(X) = \text{mult}(Y)$ .*

*Proof.* With notation as in Lemma 3.11, we can write the weights  $(\lambda_0, \lambda_1, \lambda_2)$  of  $X$  in the form  $\lambda_i = c_i a_i^2$ , where the  $c_i$  are square-free positive integers. From Proposition 3.3 we know that  $Y$  has weights

$$\left( \lambda_1, \lambda_2, \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} \right) = \left( c_1 a_1^2, c_2 a_2^2, \frac{(c_1 a_1^2 + c_2 a_2^2)^2}{c_0 a_0^2} \right).$$

The final weight is an integer; in particular, it has square-free part  $c_0$ . Thus the  $c_i$  are invariant under mutation. Furthermore,

$$\begin{aligned} \frac{\left( \lambda_1 + \lambda_2 + \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} \right)^2}{\lambda_1 \cdot \lambda_2 \cdot \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0}} &= \frac{(\lambda_0 \lambda_1 + \lambda_0 \lambda_2 + (\lambda_1 + \lambda_2)^2)^2}{\lambda_0 \lambda_1 \lambda_2 (\lambda_1 + \lambda_2)^2} \\ &= \frac{(\lambda_0 + \lambda_1 + \lambda_2)^2}{\lambda_0 \lambda_1 \lambda_2} \\ &= \frac{m^2}{rk^2} \end{aligned}$$

and so the ratio  $m/k$  is also preserved by mutation. Hence the weights of  $X$  and of  $Y$  both generate solutions to the same Diophantine equation (3.10).

Finally we recall that degree is fixed under mutation, hence  $(-K_X)^2 = (-K_Y)^2$ . But

$$\frac{m^2}{rk^2} = \text{mult}(X)(-K_X)^2 = \text{mult}(Y)(-K_Y)^2$$

and so  $\text{mult}(X) = \text{mult}(Y)$ . □

By combining Propositions 3.3, 3.9, and 3.12 we obtain Proposition 1.1.

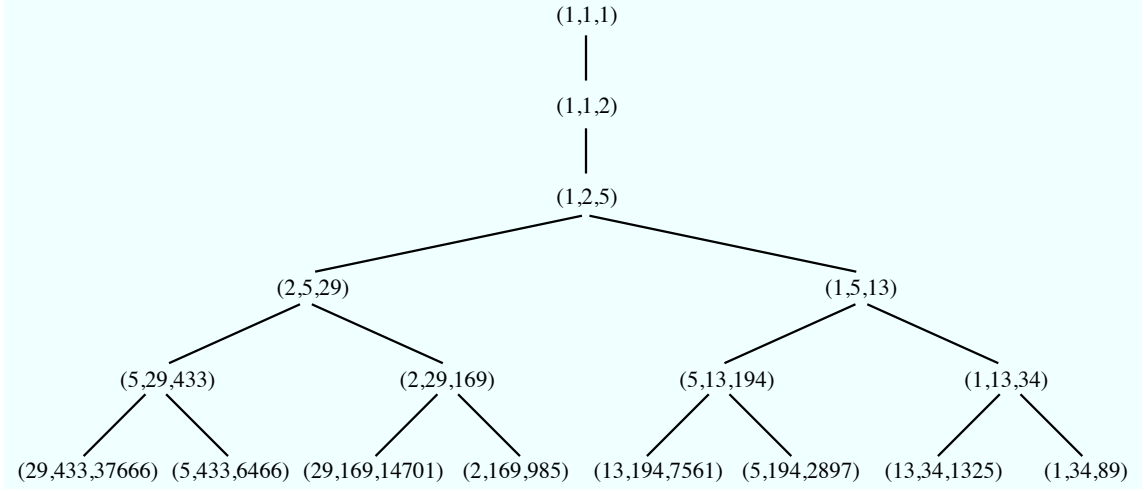
**Remark 3.13.** The weights of a fake weighted projective plane correspond to a solution  $(a_0, a_1, a_2)$  of equation (3.10). A one-step mutation gives a second solution via the transformation:

$$(a_0, a_1, a_2) \mapsto \left( \frac{m}{k} \frac{a_1 a_2}{c_0} - a_0, a_1, a_2 \right).$$

**Example 3.14.** Consider  $\mathbb{P}^2$ . In this case  $m/k = 3$ ,  $c_0 = c_1 = c_2 = 1$ , and  $(1, 1, 1) \in \mathbb{Z}_{>0}^3$  is a solution of

$$(3.12) \quad 3x_0 x_1 x_2 = x_0^2 + x_1^2 + x_2^2.$$

Up to isomorphism, there is a single one-step mutation to  $\mathbb{P}(1, 1, 4)$ , giving a solution  $(1, 1, 2) \in \mathbb{Z}_{>0}^3$  of equation (3.12). Proceeding in this fashion we obtain a graph of one-step mutations corresponding to solutions of (3.12), which we illustrate to a depth of five mutations:



**Definition 3.15.** The *height* of the weights  $(\lambda_0, \lambda_1, \lambda_2)$  is given by the sum  $h := \lambda_0 + \lambda_1 + \lambda_2 \in \mathbb{Z}_{>0}$ . We call the weights *minimal* if for any sequence of one-step mutations  $(\lambda_0, \lambda_1, \lambda_2) \mapsto \dots \mapsto (\lambda'_0, \lambda'_1, \lambda'_2)$  we have that  $h \leq h'$ .

**Lemma 3.16.** *Given weights  $(\lambda_0, \lambda_1, \lambda_2)$  at height  $h$  there exists at most one one-step mutation such that  $h' \leq h$ . Moreover, if  $h' = h$  then the weights are the same.*

*Proof.* Without loss of generality suppose we have two one-step mutations

$$\left( \lambda_1, \lambda_2, \frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} \right) \quad \text{and} \quad \left( \lambda_0, \frac{(\lambda_0 + \lambda_2)^2}{\lambda_1}, \lambda_2 \right)$$

with respective heights  $h'$  and  $h''$  such that  $h' \leq h$  and  $h'' \leq h$ . Since  $h' \leq h$  we obtain  $(\lambda_1 + \lambda_2)^2 \leq \lambda_0^2$ , and so

$$(3.13) \quad \lambda_1^2 + \lambda_2^2 < \lambda_0^2.$$

From  $h'' \leq h$  we obtain

$$(3.14) \quad \lambda_0^2 + \lambda_2^2 < \lambda_1^2.$$

Combining equations (3.13) and (3.14) gives a contradiction, hence there exists at most one one-step mutation such that  $h' \leq h$ . If we suppose that  $h' = h$  then

$$\frac{(\lambda_1 + \lambda_2)^2}{\lambda_0} = \lambda_0$$

and equality of the weights is immediate. □

The height imposes a natural direction on the graph of all one-step mutations generated by the weight  $(\lambda_0, \lambda_1, \lambda_2)$ . Lemma 3.16 tells us that this directed graph is a tree, with a uniquely defined minimal weight.

## 4. EXAMPLE: AN INFINITE NUMBER OF MINIMAL WEIGHTS

In this section we shall focus on the Diophantine equation

$$(4.1) \quad 12x_0x_1x_2 = 3x_0^2 + 5x_1^2 + 7x_2^2.$$

Any solution  $(a_0, a_1, a_2)$  such that  $(3a_0^2, 5a_1^2, 7a_2^2)$  is well-formed corresponds to weighted projective space  $\mathbb{P}(3a_0^2, 5a_1^2, 7a_2^2)$  of degree  $144/105$ . One possible such solution is  $(2, 1, 1)$  giving  $\mathbb{P}(12, 5, 7)$ . Consider the graph  $\mathcal{G}$  of all such solutions. Two solutions lie in the same component if and only if there exists a sequence of one-step mutations between the corresponding weighted projective planes. Furthermore, each component is a tree with unique minimal weight. We shall show that there exists an infinite number of components, and that every component contains at most two solutions; in fact the only component with a single solution is  $(2, 1, 1)$ .

**4.1. Coprime solutions give well-formed weights.** Let  $(a_0, a_1, a_2)$  be a solution of equation (4.1) such that  $\gcd\{a_0, a_1, a_2\} = 1$ . Clearly this is a necessary condition for the corresponding weights  $(3a_0^2, 5a_1^2, 7a_2^2)$  to be well-formed. We shall show that it is sufficient. For suppose that there exists some prime  $p$  such that  $p \mid c_i a_i^2$  and  $p \mid c_j a_j^2$ ,  $i \neq j$ . Since  $p$  cannot simultaneously divide both  $c_i$  and  $c_j$ , we have that  $p$  must divide either  $a_i$  or  $a_j$ . In particular,  $p \mid 12a_0a_1a_2$  and so, by equation (4.1),  $p$  divides the remaining weight  $c_k a_k^2$ . Similarly, since  $p$  can divide at most one of 3, 5, and 7 we see that  $p^2 \mid 12a_0a_1a_2$  and so  $p^2$  divides each of the three weights. We conclude that  $p \mid \gcd\{a_0, a_1, a_2\}$ , contradicting coprimality.

**4.2. A necessary and sufficient condition for rational solutions when  $a_1$  and  $a_2$  are fixed.** Fix  $a_1, a_2 \in \mathbb{Z}_{>0}$  and consider the quadratic

$$(4.2) \quad 12xa_1a_2 = 3x^2 + 5a_1^2 + 7a_2^2.$$

The discriminant is given by

$$12^2 a_1^2 a_2^2 - 12(5a_1^2 + 7a_2^2) = 12(5a_1^2(a_2^2 - 1) + 7a_2^2(a_1^2 - 1)),$$

which is always non-negative. The discriminant is zero only in the case  $a_1 = a_2 = 1$ , corresponding to the solution  $(2, 1, 1)$  of equation (4.1). Furthermore, we see that a rational solution to equation (4.2) exists if and only if

$$(4.3) \quad 5a_1^2(a_2^2 - 1) + 7a_2^2(a_1^2 - 1) = 3N^2, \quad \text{for some } N \in \mathbb{Z}_{>0}.$$

**4.3. Any rational solution is an integral solution.** Suppose that  $\alpha, \beta \in \mathbb{R}$  are the two solutions of equation (4.2). We obtain:

$$(4.4) \quad \alpha + \beta = 4a_1a_2,$$

$$(4.5) \quad 3\alpha\beta = 5a_1^2 + 7a_2^2.$$

In particular, since the right-hand side in each case is a strictly positive integer, we see that  $\alpha, \beta > 0$ . Furthermore,  $\alpha$  is rational if and only if  $\beta$  is rational. Since we are only interested in rational solutions, we can assume that both  $\alpha$  and  $\beta$  are rational. Let us write

$$\alpha = \frac{n_1}{m_1} \quad \text{and} \quad \beta = \frac{n_2}{m_2},$$

where the fractions are expressed in their reduced form, i.e.  $\gcd\{n_i, m_i\} = 1$ . Then

$$(4.6) \quad m_1 m_2 \mid 3n_1 n_2,$$

$$(4.7) \quad m_1 m_2 \mid n_1 m_2 + n_2 m_1.$$

By (4.7),  $m_2 \mid m_1$  and  $m_1 \mid m_2$ , forcing  $m_1 = m_2$ . Without loss of generality, from (4.6) we may assume that  $m_1 \mid 3n_2$  and  $m_2 \mid n_1$ . But then  $m_1 \mid n_1$ , forcing  $m_1 = m_2 = 1$ . Hence  $\alpha, \beta \in \mathbb{Z}_{>0}$ .

**4.4. The values  $a_1$  and  $a_2$  are fixed under one-step mutations.** We now show that, given a solution  $(a_0, a_1, a_2)$  such that  $\gcd\{a_0, a_1, a_2\} = 1$ , the values of  $a_1$  and  $a_2$  are fixed under one-step mutation. For suppose that

$$(4.8) \quad \frac{(3a_0^2 + 7a_2^2)^2}{5a_1^2} \in \mathbb{Z}.$$

Without loss of generality we may take  $\alpha = a_0$ . We see that  $5 \mid 3a_0^2 + 7a_2^2 = 3\alpha^2 + 3\alpha\beta - 5a_1^2$  by (4.5), hence  $5 \mid 3\alpha(\alpha + \beta) = 12a_0 a_1 a_2$  by (4.4). Since the weights are pairwise coprime, the only possibility is that  $5 \mid a_1$ . Returning to equation (4.8) we see that  $5^2 \mid 3a_0^2 + 7a_2^2$ , and proceeding as before we find that  $5^2 \mid a_1$ . Clearly we can repeat this process an arbitrary number of times, increasing the power of 5 at each step. This is a contradiction. The case when

$$\frac{(3a_0^2 + 5a_1^2)^2}{7a_2^2} \in \mathbb{Z}$$

is dealt with similarly.

**4.5. An infinite number of components.** Set  $a_1 = 1$  in condition (4.3). The condition becomes  $a_2^2 - 1 = 15M^2$ , where  $5M = N$ . This is a Pell equation, and Emerson [Eme69] has shown that there exists an infinite number of integer solutions given by a recurrence relation. In this case we see that  $a_2^{(n)}$  and  $M^{(n)}$  are generated by:

$$\begin{aligned} a_2^{(0)} &= 1, & M^{(0)} &= 0, \\ a_2^{(1)} &= 4, & M^{(1)} &= 1, \\ a_2^{(n+1)} &= 8a_2^{(n)} - a_2^{(n-1)}, & M^{(n+1)} &= 8M^{(n)} - M^{(n-1)}. \end{aligned}$$

Substituting these expressions back into the original quadratic (4.2) gives:

$$a_0^{(n+1)} = 2a_2^{(n)} \pm 5M^{(n)}.$$

These solutions are coprime (since  $a_1 = 1$ ) and so correspond to well-formed weights. We will focus on the smaller of the two solutions, corresponding to the minimum of the two weights. Substituting the expressions for  $a_2^{(n)}$  and  $M^{(n)}$  gives:

$$\begin{aligned} a_0^{(n+1)} &= 2a_2^{(n+1)} - 5M^{(n+1)} \\ &= 8 \left( 2a_2^{(n)} - 5M^{(n)} \right) - \left( 2a_2^{(n-1)} - 5M^{(n-1)} \right) \\ &= 8a_0^{(n)} - a_0^{(n-1)}. \end{aligned}$$

Hence we obtain the recurrence relation:

$$\begin{aligned} a_0^{(0)} &= 2, \\ a_0^{(1)} &= 3, \\ a_0^{(n+1)} &= 8a_0^{(n)} - a_0^{(n-1)}. \end{aligned}$$

**Remark 4.1.** If instead we insist that  $a_2 = 1$ , we obtain the Pell equation  $a_1^2 - 1 = 21M^2$ , where  $7M = N$ . In this case the recurrence relation is given by:

$$\begin{aligned} a_1^{(0)} &= 1, & M^{(0)} &= 0, \\ a_1^{(1)} &= 55, & M^{(1)} &= 12, \\ a_1^{(n+1)} &= 110a_1^{(n)} - a_1^{(n-1)}, & M^{(n+1)} &= 110M^{(n)} - M^{(n-1)}. \end{aligned}$$

Proceeding as above we find that

$$\begin{aligned} a_0^{(0)} &= 2, \\ a_0^{(1)} &= 26, \\ a_0^{(n+1)} &= 110a_0^{(n)} - a_0^{(n-1)}. \end{aligned}$$

Hence we have a second infinite family of components of  $\mathcal{G}$ . Notice that these two families do not exhaust all the possibilities: for example,  $a_1 = 5$ ,  $a_2 = 4$  satisfies condition (4.3), giving the two solutions  $(1, 5, 4)$  and  $(79, 5, 4)$ .

**Acknowledgments.** Our thanks to Tom Coates, Alessio Corti, and Song Sun for many useful conversations. The authors are supported by EPSRC grant EP/I008128/1.

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DEPARTMENT OF MATHEMATICS, IMPERIAL COLLEGE LONDON, LONDON, SW7 2AZ, UK

*E-mail address:* `mohammad.akhtar03@imperial.ac.uk`

*E-mail address:* `a.m.kasprzyk@imperial.ac.uk`